



PATENT APPLICATION

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In re Application of:

PRAVEEN SHARMA et al

Conf. No.: 5417

Appln. No.: 09/429,003

Group Art Unit: 1655

Filed: October 29, 1999

Examiner: Einsmann, J.

For: METHOD OF PREPARING A STANDARD DIAGNOSTIC  
GENE TRANSCRIPT PATTERN

REQUEST FOR CORRECTION OF  
CORRECTED OFFICIAL FILING RECEIPT

ATTN: APPLICATION BRANCH  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully requests correction of the  
Corrected Official Filing Receipt received in the  
above-identified application in view of the following error:

- (1) In the Applicant(s) section of the Official  
Filing Receipt, please correct the following  
information:

"PRAVEEN SHARMA, OSLO, NETHERLANDS"

should be

-- PRAVEEN SHARMA, OSLO, **NORWAY** --.

Hence, please correct the above information on the  
Corrected Official Filing Receipt.

REQUEST FOR CORRECTION OF  
CORRECTED OFFICIAL FILING RECEIPT  
U.S. Appln. No. 09/429,003

Support for the correction of Applicant's name is evidenced by the Declaration and Power of Attorney filed February 1, 2000 (a copy of which, along with the date-stamped mailroom receipt thereof, is attached hereto).

A copy of the Corrected Official Filing Receipt received in the above-identified application is also attached hereto.

Since this error was caused by the U.S. Patent and Trademark Office, no fee is required.

However, the Assistant Commissioner is hereby authorized to charge any fee necessary for the correction of the Official Filing Receipt for the above-identified application to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Gordon Kit  
Registration No. 30,964

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3202  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: December 10, 2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231  
 www.uspto.gov


CONFIRMATION NO. 5417

Bib Data Sheet

SERIAL NUMBER 09/429,003	FILING DATE 10/29/1999 RULE	CLASS 435	GROUP ART UNIT 1655	ATTORNEY DOCKET NO. Q-5626
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## APPLICANTS

 PRAVEEN SHARMA, OSLO, NORWAY;  
 ANDERS LONNEBORG, AAS, NORWAY;

## \*\* CONTINUING DATA \*\*\*\*\*

 THIS APPLICATION IS A CON OF PCT/GB98/01261 04/30/1998 *JW*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

 NORWAY NO 972006 04/30/1997 *JW*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 11/26/1999

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NORWAY	SHEETS DRAWING 3	TOTAL CLAIMS 72	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>JW</i>				
Verified and Acknowledged <i>JW</i> Examiner's Signature	Initials			

## ADDRESS

 SUGHRUE MION ZINN MACPEAK & SEAS PLLC  
 2100 PENNSYLVANIA AVENUE NW  
 WASHINGTON, DC 200373213

## TITLE

METHOD OF PREPARING A STANDARD DIAGNOSTIC GENE TRANSCRIPT PATTERN

FILING FEE RECEIVED 2476	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/429,003	10/29/1999	1655	2476	Q-56359	3	72	2

CONFIRMATION NO. 5417  
CORRECTED FILING RECEIPT

  
\*OC00000007077004\*

SUGHRUE MION ZINN MACPEAK & SEAS PLLC  
2100 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 200373213

Date Mailed: 11/15/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

PRAVEEN SHARMA, OSLO, NETHERLANDS;  
ANDERS LONNEBORG, AAS, NORWAY;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF PCT/GB98/01261 04/30/1998

**Foreign Applications**

NORWAY NO 972006 04/30/1997

**If Required, Foreign Filing License Granted 11/26/1999****CPA filed on:** 11/05/2001**Projected Publication Date:** 02/21/2002**Non-Publication Request:** No**Early Publication Request:** No**Title**

METHOD OF PREPARING A STANDARD DIAGNOSTIC GENE TRANSCRIPT PATTERN

**Preliminary Class**

435



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ATTN: APPLICATION BRANCH

PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re Application of:

PRAVEEN SHARMA et al

Appln. No.: 09/429,003

Filed: October 29, 1999

Group Art Unit: 1649

Examiner: Unknown

For: METHOD OF PREPARING A STANDARD DIAGNOSTIC  
GENE TRANSCRIPT PATTERN

PAPERS ENTITLED:

- (1) NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED;
- (2) NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES;
- (3) SUBMISSION OF MISSING PARTS OF APPLICATION  
(accompanied by executed Declaration, and Late Declaration Fee (along with check no. 145626 in the amount of \$ 130.00));
- (4) FORM PTO-1595  
(accompanied by an executed Assignment and check no. 145627 in the amount of \$ 40.00);
- (5) SUBMISSION OF PRIORITY DOCUMENT  
(accompanied by a certified copy of NO 972006);
- (6) STATEMENT IN SUPPORT OF SUBMISSION  
(accompanied by Sequence Listing and DOS Version diskette containing same);
- (7) INFORMATION DISCLOSURE STATEMENT; and
- (8) FORM PTO-1449  
(accompanied by 4 references).

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3202  
(202) 293-7060  
Date: February 1, 2000

DOCKET NO.: Q-56244-56359  
ATTORNEY: GK/vf



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**DECLARATION AND POWER OF ATTORNEY**

As the below named inventors, we hereby declare that our residence, post office address and citizenships are as stated below next to our names: that we verily believe we are the original and joint inventors of the subject matter claimed and for which a patent is sought in the application entitled:

**USE OF IMMUNOMODULATING AGENTS**

which application is:

the attached application  
(for original application)



Application No. 09/429,003

filed October 29, 1999, and amended on

October 29, 1999

(for declaration not accompanying application)

that we have reviewed and understand the specification of the specification of the above-identified application, including the claims, as amended by any amendment referred to above; that we acknowledge our duty to disclose information of which we are aware which is material to the patentability of this application under 37 C.F.R. § 1.56, that we hereby claim priority benefits under Title 35, United States Code §119, §172 or §365 of any provisional application or foreign application(s) for patent or inventor's certificate listed below and have also identified on said list any foreign application for patent or inventor's certificate on this invention having a filing date before that of any foreign application on which priority is claimed:

Application Number  
NO 972006

Country  
Norway

Filing Date  
April 30, 1997

Priority Claimed  
YES

We hereby claim the benefit of Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, We acknowledge our duty to disclose any information material to the patentability of this application under 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.  
PCT/GB98/01261

Filing Date  
April 30, 1998

Status  
Pending

We hereby appoint John H. Mion, Reg. No. 18,879; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21,092; Darryl Mexic, Reg. No. 23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No. 24,625; Waddell A. Biggart, Reg. No. 24,861; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch, Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665; Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Scott M. Daniels, Reg. No. 32,562; Brian W. Hannon, Reg. No. 32,778; Abraham J. Rosner, Reg. No. 33,276; Bruce E. Kramer, Reg. No. 33,725; Paul F. Neils, Reg. No. 33,102; Brett S. Sylvester, Reg. No. 32,765; Robert M. Masters, Reg. No. 35,603 and George F. Lehnigk, Reg. No. 36,359, our attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and request that all correspondence about the application be addressed to SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC, 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3213.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date 7<sup>th</sup> Jan. 2000 First Inventor Praveen SHARMA  
First Name Middle Initial Last Name

Residence Oslo NORWAY  
City  State/Country

Signature Praveen Sharma

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Date	Second Inventor	First Name	LÖNNEBORG	
<u>Jan 7, 2000</u>	<u>Anders</u>	<u>Quiles</u>	Middle Initial	Last Name
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Citizenship	Sweden			
Date	Third Inventor	First Name	Middle Initial	Last Name
Residence	City	Signature State/Country		
Post Office Address:				
Citizenship				
Date	Fourth Inventor	First Name	Middle Initial	Last Name
Residence	City	Signature State/Country		
Post Office Address:				
Citizenship				
Date	Fifth Inventor	First Name	Middle Initial	Last Name
Residence	City	Signature State/Country		
Post Office Address:				
Citizenship				
Date	Sixth Inventor	First Name	Middle Initial	Last Name
Residence	City	Signature State/Country		
Post Office Address:				
Citizenship				